

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
NOV-0001

#9
6/11/13

In Re Application Of: Chen

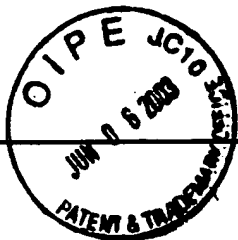
Serial No.
10/072,823

Filing Date
2/8/2002

Examiner
Meller

Group Art Unit
1654

Title: ANTI-CANCER AGENTS AND METHOD OF USE THEREOF



Address to:
Commissioner for Patents
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37 CFR 1.97(b)

TECH CENTER 1600/2900

1. ☐ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. ☒ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☒ the statement specified in 37 CFR 1.97(e);

OR

☐ the fee set forth in 37 CFR 1.17(p).

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Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. 06-1130 as described below.
- ☐ Charge the amount of _____
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.

Certificate of Transmission by Facsimile*

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Rebecca L. Blake
Signature of Person Mailing Correspondence

Rebecca L. Blake

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*This certificate may only be used if paying by deposit account.

J. Michael Buchanan
Signature

J. Michael Buchanan

Registration No. 44,571

Customer No. 23413

Telephone No. 860-286-2929

Dated: June 4, 2003

CC:

**STATEMENT UNDER 37 CFR 1.97(e) ACCOMPANYING
INFORMATION DISCLOSURE STATEMENT**

Docket No.
NOV-0001

In Re Application Of: **Chen**

Serial No.
10/072,823

Filing Date
2/8/2002

Examiner
Meller

Group Art Unit
1654

Invention: **ANTI-CANCER AGENTS AND METHOD OF USE THEREOF**



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JUN 10 2003

TO THE COMMISSIONER FOR PATENTS:

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This is a statement under the provisions of 37 CFR 1.97(e) in the above-identified application.

Check applicable statement herebelow:

Statement Under 37 CFR 1.97(e)(1)

- ☐ Each item of information contained in the accompanying Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement.

Statement Under 37 CFR 1.97(e)(2)

- ☒ No item of information contained in the accompanying Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned person, after making reasonable inquiry, no item of information contained in the accompanying Information Disclosure Statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the Information Disclosure Statement.


Signature

Dated: **June 4, 2003**

J. Michael Buchanan
Registration No. 44,571
Customer No. 23413
Telephone No. 860-286-2929

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